

16591 U.S.PTO  
10/802019  
031604

16367 U.S.PTO  
031604

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

**Mail Stop Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the original divisional patent application of:

Inventors: **Allen E. Norton et al.**

Title: **Roof Assembly and Airflow Management System for a  
Temperature Controlled Railway Car**

Assignee: **TRN Business Trust  
(For Publication Purposes)**

Priority Data: **THIS APPLICATION IS A DIVISIONAL OF U.S. PATENT APPLICATION SERIAL NO. 10/071,173 FILED FEBRUARY 8, 2002, THAT CLAIMS THE BENEFIT OF PROVISIONAL APPLICATION NO. 60/267,882 FILED FEBRUARY 9, 2001; AND WHICH IS RELATED TO CO-PENDING U.S. PATENT APPLICATION SERIAL NO. 10/071,165, FILED FEBRUARY 8, 2002 (ATTORNEY DOCKET NO. 091078.0992); CO-PENDING U.S. PATENT APPLICATION SERIAL NO. 10/071,168 FILED FEBRUARY 8, 2002 (ATTORNEY DOCKET NO. 091078.0994); AND CO-PENDING U.S. PATENT APPLICATION SERIAL NO. 10/071,513 FILED FEBRUARY 8, 2002 (ATTORNEY DOCKET NO. 091078.0995), WHICH CLAIM PRIORITY FROM THE SAME PROVISIONAL APPLICATION.**

Enclosed are:

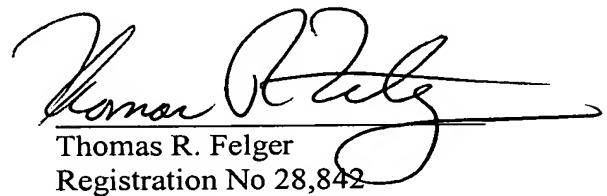
1. X Specification, Claims and Abstract (47 Pages)
2. X Formal Drawings (7 Sheets Formal)
3. X Copy of executed Declaration and Power of Attorney originally filed in the parent Application Serial No. 10/071,173 (6 Pages)
4. X Assignment Recordation Cover Sheet attaching Assignment originally recorded in the parent Application Serial No. 10/071,173 on April 23, 2002 at Reel 012829 Frame 0501 reflecting assignment of record to TRN Business Trust, along with a check in the amount of \$40.00 (11 Pages)

5.  Information Disclosure Statement and PTO Form 1449  
(w/out references) (4 Pages)
6.  This Transmittal Form with attached patent application  
filing fee in the amount of \$1,028.00 (2 pages)
7.  Return postcard

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$770.00
Total Claims	20	-20	0	X \$18 =	\$00
Independent Claims	6	-3	3	X \$86 =	\$258.00
TOTAL FILING FEE =					\$1,028.00

Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Thomas R. Felger  
Registration No 28,842

Date: March 16, 2004

CORRESPONDENCE ADDRESS: Customer No. 05073

Customer No. 05073  
Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(512) 322-2599  
(512) 322-8305 fax

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Al Norton et al.  
Filing Date: March 16, 2004  
Title: *Roof Assembly and Airflow Management System for a Temperature Controlled Railway Car*

**Mail Stop Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Transmittal, filing fee check in the Amount of \$1,028.00, Divisional Patent Application, Formal Drawings; Copy of Declaration and Power of Attorney filed in the parent Application Serial No. 10/071,173, Copy of previously filed and recorded assignment filed in the parent Application Serial No. 10/071,173 at Reel 012829 Frame 0501, Information Disclosure Statement with PTO 1449 form and Postcard are being deposited with the United States Postal Service under 37 C.F.R. 1.10 on this 16th day of March, 2004, and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Shannon Judice

Express Mail Label No.:  
**EV334589289US**  
Attorney Docket:  
091078.1289